

SECTOR #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING COMPLETION UNDER RULE 53(f)

PATENT
APPLICATION

In re **PATENT APPLICATION** of

Attn: Application Division

Inventor: Richard WARD

Appln. No.: 09/427,149

Filed: October 25, 1999

Title: Automated Care Process Management System

Atty. Dkt.

73618

259567

Client #

Matter #

(Our Deposit Account No. 03-3975)

(Our Order No.

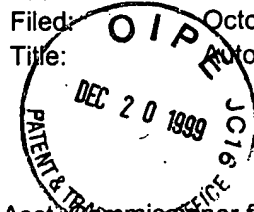
259567

RHS-001

TMC#

Client Ref

Date: December 14, 1999



Asst. Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts ☒ copy enclosed ☐ not yet received
2. ☒ Signed Declaration enclosed ☒ Original ☐ facsimile/copy
3. ☐ Enclosed is an Assignment and cover sheet. Please return the recorded assignment to the undersigned.
4. ☒ Enclosed is a Verified Statement establishing "small entity" status under Rules 9 & 27.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

| | | | |
|---|--------------------------------|---------------|------------------------------|
| 5. Basic Filing Fee | \$760/\$380 | \$ 380.00 | 101/201 |
| 6. Total Claims | 65 | minus 20 = 45 | x \$18/\$09 + 405.00 103/203 |
| 7. Independent Claims | 5 | minus 3 = 2 | x \$78/\$39 + 78.00 102/202 |
| 8. Surcharge for filing Declaration/filing fee late | \$130/\$65 | + 65.00 | 105/205 |
| 9. | FILING FEE ENCLOSED = \$928.00 | | |
| 10. Original due date: January 17, 2000 | | | |
| 11. If "assignment" box is X'd, add recording fee | \$40 | + | 581 |
| 13. | TOTAL FEE: = 928.00 | | |

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing fees, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed. This charge statement does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

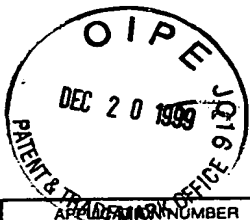
1100 New York Avenue, N.W.
Ninth Floor, East Tower
Washington, D.C. 20005-3918
Tel: (650) 233-4552
Fax: (650) 233-4545

PILLSBURY MADISON & SUTRO, LLP

By: David A. Jakopin, Reg. No. 32,995

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on , December 14, 1999.

Valerie J. Harmon



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/427,149 | 10/25/99 | WARD | R 73618/RHS-00 |

0262/1117
PILLSBURY MADISON & SUTRO LLP
NINTH FLOOR EAST TOWER
1100 NEW YORK AVENUE NW
WASHINGTON DC 20005-3918

NOT ASSIGNED

2761

DATE MAILED:

11/17/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1850.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 810 for 45 total claims over 20.

\$ 156 for 2 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

P. Walker

Customer Service Center
Initial Patent Examination Division (703) 308-1202

09/427149

380.00
55.00
76.00